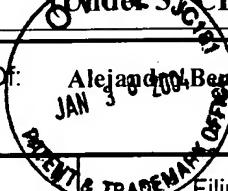


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT***Under 37 CFR 1.97(b) or 1.97(c)*Docket No.  
0SBX-100754In Re Application Of: *Alejandro Bergagni et al.*

Serial No.

10/696,721

Filing Date

10/27/2003

Examiner

Not yet assigned

Group Art Unit

Not yet assigned

**Title: PLANAR DIAPHRAGM LOUDSPEAKER AND RELATED METHODS**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

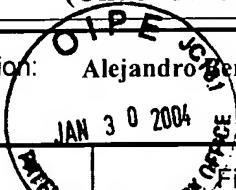
**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);
  - OR**
  - the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0SBX-100754

In Re Application: Alejandro Bertagni et al.



Serial No.  
10/696,721

Filing Date  
10/27/2003

Examiner  
Not yet assigned

Group Art Unit  
Not yet assigned

PLANAR DIAPHRAGM LOUDSPEAKER AND RELATED METHODS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1853 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \_\_\_\_\_.

Credit any overpayment.

Charge any additional fee required.

Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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I certify that this document and fee is being deposited January 26, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Signature of Person Mailing Correspondence

Kyriacos Tsircou

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
\_\_\_\_\_  
Signature

Kyriacos Tsircou, Esquire

Registration No. 53,705

Sheppard, Mullin, Richter & Hampton LLP

333 South Hope Street, 48th Floor

Los Angeles, California 90071-1448

(213) 620-1780

Dated: January 26, 2004

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/696,721  
Applicants: Alejandro Bertagni et al.  
Filed: October 27, 2003  
Title: PLANAR DIAPHRAGM LOUDSPEAKER AND  
RELATED METHODS  
Group Art Unit: Not yet assigned  
Examiner: Not yet assigned  
Docket No.: 0SBX-100754  
Date: January 26, 2004

Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

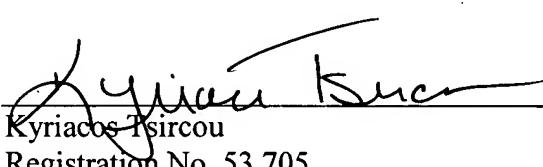
In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants submit the enclosed copies of references that might be deemed material to the examination of the above-identified application. The documents are identified on the attached form PTO-A820, "Information Disclosure Citation."

Applicants respectfully request that the identified document be considered in the examination of this application, and that its consideration be made of written record in the application file.

Respectfully submitted,

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP

By:

  
Kyriacos Tsircou  
Registration No. 53,705

333 South Hope Street, 48th Floor  
Los Angeles, California 90071  
(213) 617-1780

INFORMATION DISCLOSURE CITATION  
(Use several sheets if necessary)

JAN 30 2004

ATTY DOCKET NO.  
0SBX-100754SERIAL NO.  
10/696,721

Alejandro Bertagni et al.

FILING

10/27/2003

GROUP

Not yet assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,046,362	7/24/1962	White	179	115.5	
	3,596,733	8/3/1971	Bertagni	181	32	
	3,722,617	3/27/1973	Bertagni	181	32	
	3,737,005	6/5/1973	Tontini	181	33	
	3,779,336	12/18/1973	Bertagni	181	31	
	3,792,394	2/12/1974	Bertagni	335	299	
	3,801,943	4/2/1974	Bertagni	335	231	
	3,912,865	10/14/1975	Seebinger	179	1	
	3,991,286	11/9/1976	Henricksen	179	115.5	
	4,003,449	1/18/1977	Bertagni	181	173	
	4,013,846	3/22/1977	Krawczak et al.	179	115.5	

## FOREIGN PATENT DOCUMENTS

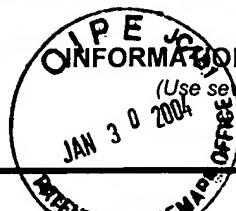
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						YES	NO
	JP59004400	1/11/1984	Japan				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


**INFORMATION DISCLOSURE CITATION**
*(Use several sheets if necessary)*

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10/27/2003

GROUP

Not yet assigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,138,593	2/6/1979	Hasselbach et al.	179	1	
	4,184,563	1/22/1980	Bertagni	181	171	
	4,257,325	3/24/1981	Bertagni	181	172	
	4,328,400	5/4/1982	Shimada et al.	179	116	
	4,413,160	11/1/1983	Ohyaba et al.	179	115	
	4,760,510	7/26/1988	Lahti	362	365	
	4,820,077	4/11/1989	Sawada et al.	403	402	
	4,837,838	6/6/1989	Thigpen et al.	381	158	
	4,850,023	7/18/1989	Yarush	381	67	
	4,928,312	5/22/1990	Hill	381	192	
	4,933,975	6/12/1990	Button	381	192	

**FOREIGN PATENT DOCUMENTS**

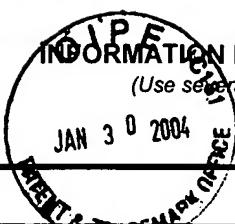
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,997,058	3/5/1991	Bertagni	181	166	
	5,007,707	4/16/1991	Bertagni	350	118	
	5,425,107	6/13/1995	Bertagni et al.	381	202	
	5,574,796	11/12/1996	Keezer	381	188	
	5,690,423	11/25/1997	Hentz et al.	362	365	
	5,937,073	8/10/1999	Van Gieson	381	391	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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